**The information the Bank collects through this Questionnaire are for aimed at introduction of the Client, in line with the obligations prescribed by the Law on the Prevention of Money Laundering and the Financing of Terrorism, other regulations of the Republic of Serbia.**

**In case the Bank is not able to perform the aforementioned actions and measures of recognition and monitoring Client, the business relationship cannot be established, and in case the business relationship is already established, it must be terminated.**

|  |
| --- |
| **GENERAL INFORMATION** |

|  |  |
| --- | --- |
| **Business name and legal form**: | $.general.name |
| ID number | $.general.idNumber |
| TIN | $.general.tin |
| Date of establishment | $.general.establishmentDate |
| Country of establishment | $.general.establishmentCountry |
| **Legal address:** |  |
| Street and number | $.general.legalAddress.formatted |
| Place | $.general.legalAddress.place |
| Postal code | $.general.legalAddress.postalCode |
| Country | $.general.legalAddress.country |
| Industry Sector | $.general.industrySector |
| Phone | $.general.phone |
| Email | $.general.email |

|  |
| --- |
| **ADDITIONAL INFORMATION** |

**Reason for establishing business relationship with the Bank**

|  |  |
| --- | --- |
| Domestic payments | Payment based on approved credit lines |
| Domestic disinvestment | Foreign disinvestment |
| Treasury | International payments |
| Procurement | Salaries of employees |
| Investments | Other (mandatory to enter specific reason)  $.kyc.otherRelationshipReason |

**Type of business relationship with the Bank**

|  |  |
| --- | --- |
| Current account | Securities |
| Loan based on pledge | Insurance |
| Loan | Credit card |
| Property management | Safe / Guarantee deposit |
| Savings account | Internet card |

**Type of transaction to be performed through account**

|  |  |
| --- | --- |
| Cash | Loans, guarantees, letters of credit |
| Termed deposits and investments | Foreign payments |
| Non-cash in dinars | Financial instruments |
| Non-cash in foreign currency | Other (mandatory to enter)  $.kyc.otherTransactionType |

**Average annual cash inflow expected on the account**

|  |  |
| --- | --- |
| Under 100.000 EUR | Under 1.000.000 EUR |
| Under 500.000 EUR | Over 1.000.000 EUR |

**What is the basis of inflows on Client’s account**

|  |  |
| --- | --- |
| Basic business activity | Sales |
| Fees | Sales of real estate |
| Rewards | Donations |
| Donations of partners, sponsors, etc. | Self-financing |
| Sales of securities | Other (mandatory to enter):  $.kyc.otherInflowBasis |
| Funds from abroad |  |

**Country in which the Client performs most of its operations**

|  |
| --- |
| $.kyc.mostOperationsCountry |

**Does the Client perform significant and continuous business with other foreign countries?** (if yes, state three most dominant in the observed context):

|  |  |  |
| --- | --- | --- |
| 1. $.kyc.foreignBusinessCountry1 | 2. $.kyc.foreignBusinessCountry2 | 3. $.kyc.foreignBusinessCountry3 |

**Is the Client operating in a sector profiting from public funds, including EU funds** (for example, public contracts, health, collection and disposal of waste, renewable energy production)?

|  |  |
| --- | --- |
| YES | NO |

**Business partners with which the Client accomplishes the largest turnover (names, countries):**

|  |  |
| --- | --- |
| A. Suppliers | B. Buyers |
| 1. $.kyc.supplier1 | 1. $.kyc.buyer1 |
| 2. $.kyc.supplier2 | 2. $.kyc.buyer2 |
| 3 .$.kyc.supplier3 | 3. $.kyc.buyer3 |

**The Client cooperates the most with business partners involved with the following activities**

|  |  |
| --- | --- |
| 1. $.kyc.partnerActivity1 | 3. $.kyc.partnerActivity3 |
| 2. $.kyc.partnerActivity2 | 4. $.kyc.partnerActivity4 |

|  |
| --- |
| **INFORMATION ON THE MANAGING STRUCTURE OF THE CLIENT** |

**Legal representative identification data**

|  |  |
| --- | --- |
| Name and surname | $.representatives[0].basicData.fullName |
| ID Number | $.representatives[0].basicData.idNumber |
| Date of birth | $.representatives[0].basicData.birthDate |
| Place of birth | $.representatives[0].basicData.birthPlace |
| Country of birth | $.representatives[0].basicData.birthCountry |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.representatives[0].idDocument.type |
| Number | $.representatives[0].idDocument.number |
| Country of issuance​ | $.representatives[0].idDocument.issuingCountry |
| Issuing authority​ | $.representatives[0].idDocument.issuingAuthority |
| Date of issuance | $.representatives[0].idDocument.issuingDate |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.representatives[0].contactPoints.legalAddress |
| Phone number (business) | $.representatives[0].contactPoints.businessPhoneNumber |

**What is the relationship between the person operating on behalf of the Client (representative) and the Client**

|  |  |
| --- | --- |
| General Manager | Employee |
| Management member | Other (mandatory to enter):  $.representatives[0].kyc.otherRepresentativeRelationship |

**Legal representative identification data**

|  |  |
| --- | --- |
| Name and surname | $.representatives[1].basicData.fullName |
| ID Number | $.representatives[1].basicData.idNumber |
| Date of birth | $.representatives[1].basicData.birthDate |
| Place of birth | $.representatives[1].basicData.birthPlace |
| Country of birth | $.representatives[1].basicData.birthCountry |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.representatives[1].idDocument.type |
| Number | $.representatives[1].idDocument.number |
| Country of issuance​ | $.representatives[1].idDocument.issuingCountry |
| Issuing authority​ | $.representatives[1].idDocument.issuingAuthority |
| Date of issuance | $.representatives[1].idDocument.issuingDate |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.representatives[1].contactPoints.legalAddress |
| Phone number (business) | $.representatives[1].contactPoints.businessPhoneNumber |

**What is the relationship between the person operating on behalf of the Client (representative) and the Client**

|  |  |
| --- | --- |
| General Manager | Employee |
| Management member | Other (mandatory to enter):  $.representatives[1].kyc.otherRepresentativeRelationship |

|  |
| --- |
| **OWNERSHIP STRUCTURE** |

**A Client is a state body, a body of a local government unit, a public agency, a public service, a public fund, a public institute or a chamber**

|  |  |
| --- | --- |
| YES | NO |

**Client's securities are included in the organized securities market in the Republic of Serbia or a country in which international standards are applied at the level of European Union standards or higher**

|  |  |
| --- | --- |
| YES | NO |

**If the answer is YES, it is obligatory to name the stock exchange**

|  |
| --- |
| $.ownershipStructure.stockExchange |

|  |
| --- |
| **INFORMATION ON THE BENEFICIAL OWNERS OF THE CLIENT** |

**$.owners[0].introductionParagraph**

**Beneficial owner identification data**

|  |  |
| --- | --- |
| Name and surname | $.owners[0].basicData.fullName |
| ID Number | $.owners[0].basicData.idNumber |
| Date of birth | $.owners[0].basicData.birthDate |
| Place of birth | $.owners[0].basicData.birthPlace |
| Country of birth | $.owners[0].basicData.birthCountry |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.owners[0].contactPoints.legalAddress |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.owners[0].idDocument.type |
| Number | $.owners[0].idDocument.number |
| Country of issuance​ | $.owners[0].idDocument.issuingCountry |
| Issuing authority​ | $.owners[0].idDocument.issuingAuthority |
| Date of issuance | $.owners[0].idDocument.issuingDate |

**On what basis the stated natural person is recognized as the beneficial owner of the Client**

|  |
| --- |
| Natural person that directly or indirectly owns 25% or more of business share, stocks, voting rights or other rights, based on which such person participates in the management of the legal person, that is, participates in the equity of the legal person with 25% or more share |
| Natural person that directly or indirectly has a dominant impact on managing and decision making (dominant impact meaning that one natural person has the absolute right in decision making or absolute “veto” right in making decisions on financial and business policy of the client, that is, capable of independent decision making or putting “veto” on such decision, with no joint action with other persons) |
| Natural person providing funds to the client indirectly and on that basis has the right to a dominant impact on decision making of the managerial bodies of the client in financing and business decisions |
| Natural person registered to represent the client |
| Natural person being the trustor, trustee, protector, beneficiary, or a person with dominant impact in trust management, or other person under the foreign law (in this case state the role of this natural person:  $.owners[0].kyc.otherOwnerBasis |

**Holding a public service function for beneficiary owner, a member of beneficiary owner's family, or beneficiary owner's close business associate at any time during last 12 months**

**- Republic of Serbia official**

|  |  |
| --- | --- |
| YES | NO |

**- Official of the foreign country or international organization**

|  |  |
| --- | --- |
| YES | NO |

**Only in case the response to any of these two options from the previous question is YES, the following five questions must be answered.**

**Name of the public office and the institution in which it is performed**

|  |
| --- |
| $.owners[0].pep.officeName |

**In case the public office refers to the other country or international organization, state the name of such country, or name of such international organization**:

|  |
| --- |
| $.owners[0].pep.officeCountry |

**Period of time of discharging public office**

|  |  |
| --- | --- |
| Start date $.owners[0].pep.officeStartDate | End date $.owners[0].pep.officeEndDate |

**In case the holder of the public office is not the beneficial owner, but his close family member or his close associate, state the following data on such person (holder of public office)**

|  |  |
| --- | --- |
| Name and surname of the holder of public office | $.owners[0].pep.officeHolderName |

**Origin of the property of beneficial owner, including the property subject to the business relationship with the Bank**

|  |  |
| --- | --- |
| Own property acquired by discharging public office | Own property acquired from (co)ownership over legal entities |
| Own property acquired from employment not related to discharging public office | Own property acquired as gift or inheritance |
| Own property acquired from securities trade on financial markets | Other source of property, that is, origin not included in previous options (enter):  $.owners[0].pep.otherPropertyOrigin |
| Own property acquired from sales of real estate or movables |  |

**Beneficial owner identification data**

|  |  |
| --- | --- |
| Name and surname | $.owners[1].basicData.fullName |
| ID Number | $.owners[1].basicData.idNumber |
| Date of birth | $.owners[1].basicData.birthDate |
| Place of birth | $.owners[1].basicData.birthPlace |
| Country of birth | $.owners[1].basicData.birthCountry |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.owners[1].contactPoints.legalAddress |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.owners[1].idDocument.type |
| Number | $.owners[1].idDocument.number |
| Country of issuance​ | $.owners[1].idDocument.issuingCountry |
| Issuing authority​ | $.owners[1].idDocument.issuingAuthority |
| Date of issuance | $.owners[1].idDocument.issuingDate |

**On what basis the stated natural person is recognized as the beneficial owner of the Client**

|  |
| --- |
| Natural person that directly or indirectly owns 25% or more of business share, stocks, voting rights or other rights, based on which such person participates in the management of the legal person, that is, participates in the equity of the legal person with 25% or more share |
| Natural person that directly or indirectly has a dominant impact on managing and decision making (dominant impact meaning that one natural person has the absolute right in decision making or absolute “veto” right in making decisions on financial and business policy of the client, that is, capable of independent decision making or putting “veto” on such decision, with no joint action with other persons) |
| Natural person providing funds to the client indirectly and on that basis has the right to a dominant impact on decision making of the managerial bodies of the client in financing and business decisions |
| Natural person registered to represent the client |
| Natural person being the trustor, trustee, protector, beneficiary, or a person with dominant impact in trust management, or other person under the foreign law (in this case state the role of this natural person:  $.owners[1].kyc.otherOwnerBasis |

**Holding a public service function for beneficiary owner, a member of beneficiary owner's family, or beneficiary owner's close business associate at any time during last 12 months**

**- Republic of Serbia official**

|  |  |
| --- | --- |
| YES | NO |

**- Official of the foreign country or international organization**

|  |  |
| --- | --- |
| YES | NO |

**Only in case the response to any of these two options from the previous question is YES, the following five questions must be answered.**

**Name of the public office and the institution in which it is performed**

|  |
| --- |
| $.owners[1].pep.officeName |

**In case the public office refers to the other country or international organization, state the name of such country, or name of such international organization**:

|  |
| --- |
| $.owners[1].pep.officeCountry |

**Period of time of discharging public office**

|  |  |
| --- | --- |
| Start date $.owners[1].pep.officeStartDate | End date $.owners[1].pep.officeEndDate |

**In case the holder of the public office is not the beneficial owner, but his close family member or his close associate, state the following data on such person (holder of public office)**

|  |  |
| --- | --- |
| Name and surname of the holder of public office | $.owners[1].pep.officeHolderName |

**Origin of the property of beneficial owner, including the property subject to the business relationship with the Bank**

|  |  |
| --- | --- |
| Own property acquired by discharging public office | Own property acquired from (co)ownership over legal entities |
| Own property acquired from employment not related to discharging public office | Own property acquired as gift or inheritance |
| Own property acquired from securities trade on financial markets | Other source of property, that is, origin not included in previous options (enter):  $.owners[1].pep.otherPropertyOrigin |
| Own property acquired from sales of real estate or movables |  |

**Beneficial owner identification data**

|  |  |
| --- | --- |
| Name and surname | $.owners[2].basicData.fullName |
| ID Number | $.owners[2].basicData.idNumber |
| Date of birth | $.owners[2].basicData.birthDate |
| Place of birth | $.owners[2].basicData.birthPlace |
| Country of birth | $.owners[2].basicData.birthCountry |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.owners[2].contactPoints.legalAddress |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.owners[2].idDocument.type |
| Number | $.owners[2].idDocument.number |
| Country of issuance​ | $.owners[2].idDocument.issuingCountry |
| Issuing authority​ | $.owners[2].idDocument.issuingAuthority |
| Date of issuance | $.owners[2].idDocument.issuingDate |

**On what basis the stated natural person is recognized as the beneficial owner of the Client**

|  |
| --- |
| Natural person that directly or indirectly owns 25% or more of business share, stocks, voting rights or other rights, based on which such person participates in the management of the legal person, that is, participates in the equity of the legal person with 25% or more share |
| Natural person that directly or indirectly has a dominant impact on managing and decision making (dominant impact meaning that one natural person has the absolute right in decision making or absolute “veto” right in making decisions on financial and business policy of the client, that is, capable of independent decision making or putting “veto” on such decision, with no joint action with other persons) |
| Natural person providing funds to the client indirectly and on that basis has the right to a dominant impact on decision making of the managerial bodies of the client in financing and business decisions |
| Natural person registered to represent the client |
| Natural person being the trustor, trustee, protector, beneficiary, or a person with dominant impact in trust management, or other person under the foreign law (in this case state the role of this natural person:  $.owners[2].kyc.otherOwnerBasis |

**Holding a public service function for beneficiary owner, a member of beneficiary owner's family, or beneficiary owner's close business associate at any time during last 12 months**

**- Republic of Serbia official**

|  |  |
| --- | --- |
| YES | NO |

**- Official of the foreign country or international organization**

|  |  |
| --- | --- |
| YES | NO |

**Only in case the response to any of these two options from the previous question is YES, the following five questions must be answered.**

**Name of the public office and the institution in which it is performed**

|  |
| --- |
| $.owners[2].pep.officeName |

**In case the public office refers to the other country or international organization, state the name of such country, or name of such international organization**:

|  |
| --- |
| $.owners[2].pep.officeCountry |

**Period of time of discharging public office**

|  |  |
| --- | --- |
| Start date $.owners[2].pep.officeStartDate | End date $.owners[2].pep.officeEndDate |

**In case the holder of the public office is not the beneficial owner, but his close family member or his close associate, state the following data on such person (holder of public office)**

|  |  |
| --- | --- |
| Name and surname of the holder of public office | $.owners[2].pep.officeHolderName |

**Origin of the property of beneficial owner, including the property subject to the business relationship with the Bank**

|  |  |
| --- | --- |
| Own property acquired by discharging public office | Own property acquired from (co)ownership over legal entities |
| Own property acquired from employment not related to discharging public office | Own property acquired as gift or inheritance |
| Own property acquired from securities trade on financial markets | Other source of property, that is, origin not included in previous options (enter):  $.owners[2].pep.otherPropertyOrigin |
| Own property acquired from sales of real estate or movables |  |

**Beneficial owner identification data**

|  |  |
| --- | --- |
| Name and surname | $.owners[3].basicData.fullName |
| ID Number | $.owners[3].basicData.idNumber |
| Date of birth | $.owners[3].basicData.birthDate |
| Place of birth | $.owners[3].basicData.birthPlace |
| Country of birth | $.owners[3].basicData.birthCountry |

**Contact point data**

|  |  |
| --- | --- |
| Legal address | $.owners[3].contactPoints.legalAddress |

**Identification document data**

|  |  |
| --- | --- |
| Type​ | $.owners[3].idDocument.type |
| Number | $.owners[3].idDocument.number |
| Country of issuance​ | $.owners[3].idDocument.issuingCountry |
| Issuing authority​ | $.owners[3].idDocument.issuingAuthority |
| Date of issuance | $.owners[3].idDocument.issuingDate |

**On what basis the stated natural person is recognized as the beneficial owner of the Client**

|  |
| --- |
| Natural person that directly or indirectly owns 25% or more of business share, stocks, voting rights or other rights, based on which such person participates in the management of the legal person, that is, participates in the equity of the legal person with 25% or more share |
| Natural person that directly or indirectly has a dominant impact on managing and decision making (dominant impact meaning that one natural person has the absolute right in decision making or absolute “veto” right in making decisions on financial and business policy of the client, that is, capable of independent decision making or putting “veto” on such decision, with no joint action with other persons) |
| Natural person providing funds to the client indirectly and on that basis has the right to a dominant impact on decision making of the managerial bodies of the client in financing and business decisions |
| Natural person registered to represent the client |
| Natural person being the trustor, trustee, protector, beneficiary, or a person with dominant impact in trust management, or other person under the foreign law (in this case state the role of this natural person:  $.owners[3].kyc.otherOwnerBasis |

**Holding a public service function for beneficiary owner, a member of beneficiary owner's family, or beneficiary owner's close business associate at any time during last 12 months**

**- Republic of Serbia official**

|  |  |
| --- | --- |
| YES | NO |

**- Official of the foreign country or international organization**

|  |  |
| --- | --- |
| YES | NO |

**Only in case the response to any of these two options from the previous question is YES, the following five questions must be answered.**

**Name of the public office and the institution in which it is performed**

|  |
| --- |
| $.owners[3].pep.officeName |

**In case the public office refers to the other country or international organization, state the name of such country, or name of such international organization**:

|  |
| --- |
| $.owners[3].pep.officeCountry |

**Period of time of discharging public office**

|  |  |
| --- | --- |
| Start date $.owners[3].pep.officeStartDate | End date $.owners[3].pep.officeEndDate |

**In case the holder of the public office is not the beneficial owner, but his close family member or his close associate, state the following data on such person (holder of public office)**

|  |  |
| --- | --- |
| Name and surname of the holder of public office | $.owners[3].pep.officeHolderName |

**Origin of the property of beneficial owner, including the property subject to the business relationship with the Bank**

|  |  |
| --- | --- |
| Own property acquired by discharging public office | Own property acquired from (co)ownership over legal entities |
| Own property acquired from employment not related to discharging public office | Own property acquired as gift or inheritance |
| Own property acquired from securities trade on financial markets | Other source of property, that is, origin not included in previous options (enter):  $.owners[3].pep.otherPropertyOrigin |
| Own property acquired from sales of real estate or movables |  |

|  |
| --- |
| **DECLARATION** |

|  |
| --- |
| By signing this Questionnaire, I hereby confirm that the stated data is accurate and I hereby authorize the Bank to check its authenticity. I also accept to notify the Bank on any change of such data without delay. I hereby confirm that the Bank has notified me on the processing personal data from this questionnaire is in line with the law and my rights and obligations related to processing stated data in line with the law |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place, Date Organization Unit

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Customer Bank’s officer